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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DO/PTO Rev. 6/95.	U.S. Department of Commerce Patent and Trademark Office	DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION	
		<input type="checkbox"/> Declaration Submitted with Initial Filing	<input type="checkbox"/> Declaration Submitted after Initial Filing
		Attorney Docket Number 3519-4-PUS	
		First Named Inventor TRACY, Michael J.	
		COMPLETE IF KNOWN	
		Application Number 09/297,317	Filing Date Apr. 28, 1999
		Group Art Unit	
		Examiner Name	

As below named inventor, I hereby declare that::

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed for which a patent is sought on the invention entitled:

"TELECOMMUNICATIONS INTERFACE AND ASSOCIATED METHOD FOR COLLECTING AND TRANSMITTING DATA"

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 28 October 1997 as United States Application Number or PCT International

Application Number PCT/US97/19406 and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any Pct international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)	
08/740,361	OCTOBER 28, 1996	<input type="checkbox"/>
08/920,085	AUGUST 26, 1997	

Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Robert	Middle Initial	L.	Family Name	HINZE	Suffix e.g. Jr.	
Inventor's Signature	<i>Robert L Hinge</i>				Date	5-28-99	
Residence City	Gering	State	Nebraska	Country	USA	Citizenship	USA
Post Office Address	1685 Bonanza Street						
Post Office Address							
City	Gering	State	Nebraska	Zip	69341	Country	USA
						Applicant Authority	

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
						Applicant Authority	

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
						Applicant Authority	

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
						Applicant Authority	

[] Further applicants and/or (further) inventors are indicated on another continuation sheet

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DECLARATION

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I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT International application in the manner provided by the first paragraph of Title 35, United States Code § 112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name OR SHERIDAN ROSS P.C. Customer Number or label ☐
☐ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
ZINGER, DAVID F.	<u>29,127</u>	STAVISH, SABRINA CROWLEY	<u>33,374</u>
GROSETH, CRAIG C.	<u>31,713</u>	HANSEN, LEWIS D.	<u>35,536</u>
TOMPKINS, MICHAEL L.	<u>30,980</u>	KOVARIK, JOSEPH E.	<u>33,005</u>
BLAKELY, TODD P.	<u>31,328</u>	SWARTZ, DOUGLAS W.	<u>37,739</u>
CONNELL, GARY J.	<u>32,020</u>	KUGLER, BRUCE A.	<u>38,942</u>
CROOK, WANNELL M.	<u>31,071</u>	BRUNELLI, ROBERT R.	<u>39,617</u>

☒ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

Please direct all correspondence to: ☐ Customer Number or label ☐ OR ☐ Fill in correspondence address below

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Address 1700 LINCOLN STREET, SUITE 3500

City DENVER State COLORADO Zip 80203

Country UNITED STATES OF AMERICA Telephone (303) 863-9700 Fax (303) 863-0223

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name Michael Middle Initial J. Family Name Tracy Suffix e.g. Jr. ☐

Inventor's Signature [Signature] Date 5/28/95

Residence City Scottsbluff State Nebraska Country USA Citizenship USA

Post Office Address 731 East 38th St.

City Scottsbluff State Nebraska Zip 69363 Country USA Applicant Authority ☐

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

Type a plus sign (+) inside this box → ☐

DECLARATION

ADDITIONAL and/or AGENT INFORMATION Supplemental Sheet

Name	Registration Number	Name	Registration Number
HUGHES, RICHARD L.	<u>31,264</u>		
HANSRA, TEJPAL S.	<u>38,172</u>		
HARTJE, DANA L.	<u>40,638</u>		
CHA, DON D.	<u>40,945</u>		
DALLAS-PEDRETTI, ANGELA	<u>42,460</u>		
LIEB, BENJAMIN B.	<u>42,801</u>		
KNEPPER, BRADLEY M.	<u>44,189</u>		
BROWN, THERESA A.	<u>32,547</u>		
SCOTT, JOHN C.	<u>38,613</u>		
DRICKMAN, MIRIAM R.	<u>42,499</u>		

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16 Rec'd PCT/PTO JUN 1997
09/297317

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official empowered to act on behalf of the small business concern, Tracy Corporation II of P.O. Box 1225, Scottsbluff, Nebraska 69363-1225.

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "TELECOMMUNICATIONS INTERFACE AND ASSOCIATED METHOD FOR COLLECTING AND TRANSMITTING DATA" and identified as Attorney File No. 3519-4-PUS, described in application Serial No. 09/297,317, filed on April 28, 1999.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

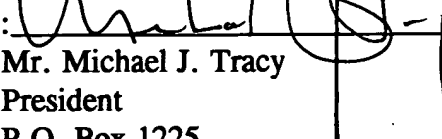
*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: May 28, 1999

By: 
Mr. Michael J. Tracy
President
P.O. Box 1225
Scottsbluff, Nebraska 69363-1225